

Spin-flip scattering

1964 Kondo :

ferromagnetism in metals (Tener)

Ref.: "Theory of magnetism" Yoshida  
Springer

# Model Hamiltonian

Magnetic impurity with spin  $S'$  (here spin  $\frac{1}{2}$ ) coupled to a gas of free electrons.

Impurity creation operators  $d_{\sigma}^+$ ,  $\sigma = \uparrow, \downarrow$

Imp. Spin operators

$$S^z = \frac{1}{2} (d_{\uparrow}^+ d_{\uparrow} - d_{\downarrow}^+ d_{\downarrow})$$

$$S^+ = d_{\uparrow}^+ d_{\downarrow}, \quad S^- = d_{\downarrow}^+ d_{\uparrow}$$

$$S^x = \frac{1}{2} (S^+ + S^-), \quad S^y = \frac{1}{2i} (S^+ - S^-)$$

$$H_B = \sum_{\underline{k}, \sigma} (\varepsilon_{\underline{k}} - \mu) a_{\underline{k}\sigma}^+ a_{\underline{k}\sigma}$$

$\mu$ : chem. Potential

Impurity at  $x=0$ . Band electron spin operators

$$S_0^+ \equiv N_{\uparrow}^+ (x=0) N_{\downarrow}^+ (x=0)$$

$N_{\uparrow, \downarrow}^+$   
electron field  
operators

$$= \frac{1}{(\sqrt{\Omega})^2} \sum_{\underline{k} \underline{k}'} a_{\underline{k}\uparrow}^+ a_{\underline{k}'\downarrow}$$

(expansion into  
plane waves)

$\Omega$ : Volume

The total Kondo Hamiltonian  $\hat{H}_K$

$$\hat{H}_K = \hat{H}_B + 2J \hat{\underline{S}} \cdot \hat{\underline{S}}_0 \quad \text{careful}$$

$J$ : coupling

$J < 0$ : ferromagnetic exchange coupling

$J > 0$ : anti-ferromagnetic coupling

Exchange interaction:

QM of molecules

n statistical mechanics

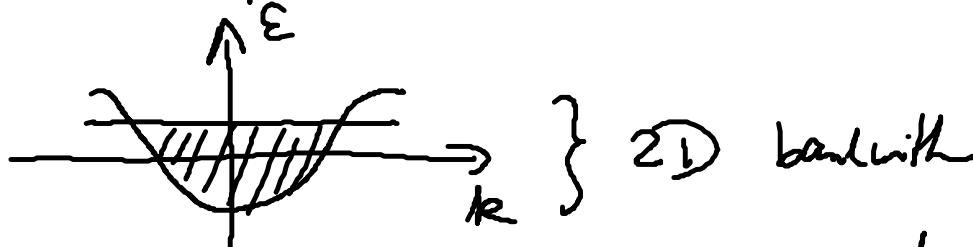
by R. Feynman.

$$\hat{\underline{S}} \cdot \hat{\underline{S}}_0 = S^z S_0^z + \frac{1}{2} (S^+ S_0^- + S^- S_0^+) \quad (\text{UA})$$

$$\hat{H}_K = \sum_{k\sigma} (\varepsilon_k - \mu) a_{k\sigma}^\dagger a_{k\sigma} + \hat{H}_{s-d}$$

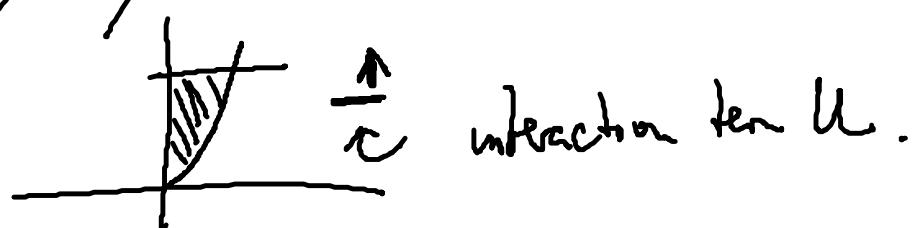
$$\begin{aligned} \hat{H}_{s-d} = & + \frac{J}{2} \sum_{\substack{k k' \\ k' k''}} \left[ S^z (a_{k\uparrow}^\dagger a_{k'\uparrow} - a_{k\downarrow}^\dagger a_{k'\downarrow}) \right. \\ & \left. + S^+ a_{k\downarrow}^\dagger a_{k'\uparrow} + S^- a_{k\uparrow}^\dagger a_{k'\downarrow} \right] \end{aligned}$$

all momenta  $k$  within the band are coupled to the impurity



all energies couple to the magnetic impurity.

- Sign convention  $-2JS_S$   
 $+2JS_S$
- "s-d" Hamiltonian
- Kondo Model can be derived from the SIAM (Single Impurity Anderson Model) by Schrieffer-Wolff.



### Kondo Hamiltonian + Many-Body Physics

Why is it difficult?  
The impurity forces us to study the band electrons as a many-body problem.

Example: two electrons with spin  $\uparrow$  scatter at impurity (spin  $\downarrow$ )

first electron scatters, but  
then second can't spin-flip scatter.

An exact numerical diagonalisation is impossible:

- Hilbert space is too large
- too many single particle states
- too many electrons.

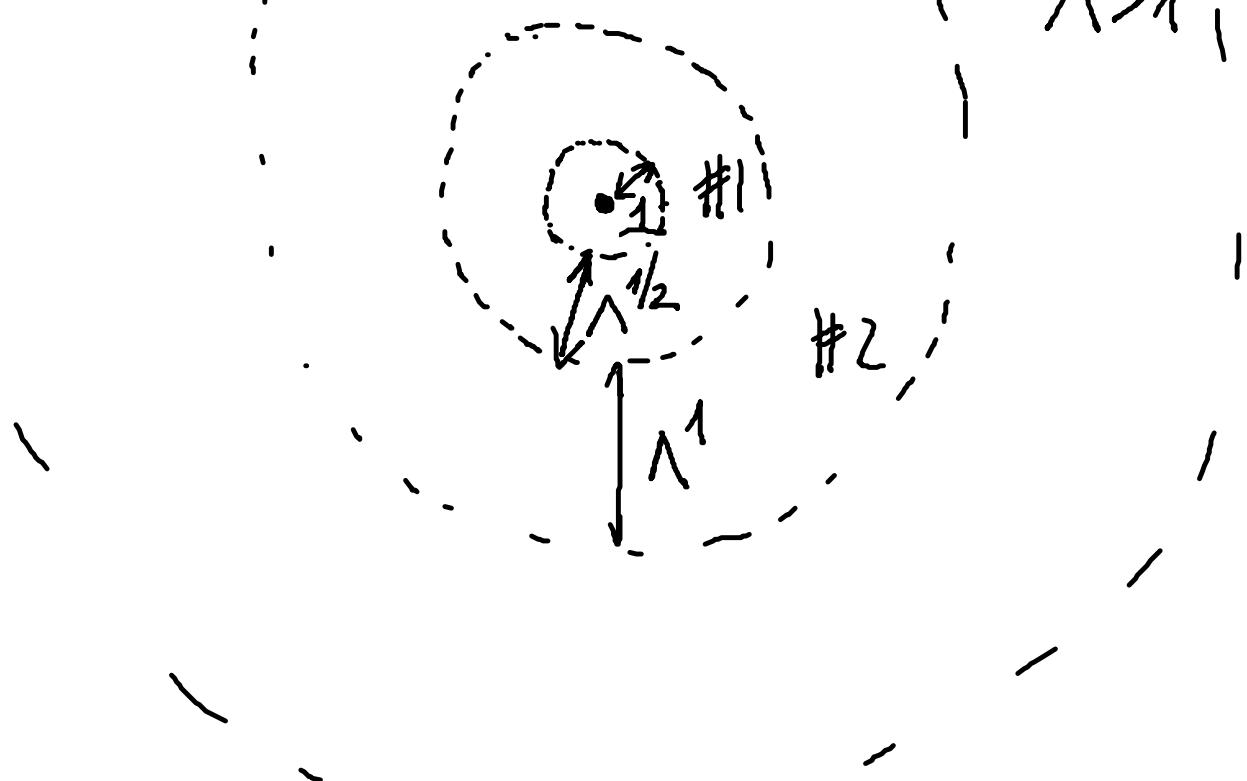
Key ideas: 1) Find a (very) good basis of single particle states in which to diagonalise.

Usual plane-wave basis is not suitable here.

Instead we use a basis similar to

Wannier functions: this emphasises the interaction between the impurity and the band electrons.

- first state has strong overlap with the impurity



"onion-like" shell structure : Kondo basis

## 2) Strategy:

- First solve impurity coupled to the first Kondo state  $\Rightarrow$  a few eigenvalues
- Add the second layer, solve combined problem
- Numerical problem:  $n=0$  :  $2^3 = 8$  states  
( $8 \times 8$  matrix)

2nd:  $2^{3+2n}$  : becomes very big !  
 { each onion shell has  $|D\rangle$   
 $|1\uparrow\rangle, |1\downarrow\rangle, |1\uparrow\rangle$  }

Procedure: Renormalisation group, language

within one step (adding one shell)

one moves from  $H_N$  to  $H_{N+1}$

RG-step  $H_{N+1} = R[H_N]$

R: renom. group transformation

Details:

Spherical symmetry : Expand  $\psi_n^+(0) \psi_n^-(0)$   
into  $Y_m(\theta, \phi)$ .

The impurity only couples to s-waves.

Energy  $\epsilon_k = \epsilon |k| \rightarrow$

We write  $\varepsilon_{\underline{k}} = \varepsilon_F + (k - k_F) \frac{\partial \varepsilon_F}{\partial k} + \dots$

Energy constant from  $\varepsilon_F$ ,

re-defining units  $(k_F = 0)$

$$\varepsilon_{\underline{k}} = k = \varepsilon \quad (\text{Check})$$

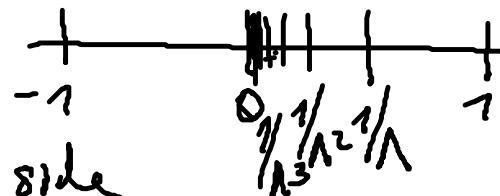
$$H_K = \sum_{\sigma} \int_{-\infty}^{\infty} d\varepsilon \varepsilon a_{\varepsilon\sigma}^+ a_{\varepsilon\sigma} + \langle \hat{Z} \hat{S} \hat{S}_0 \rangle$$

- Wilson:
- Divide energy band into discrete intervals.

$$D = 1$$

- logarithmic discretisation

$$k \rightarrow$$



both on positive + negative side

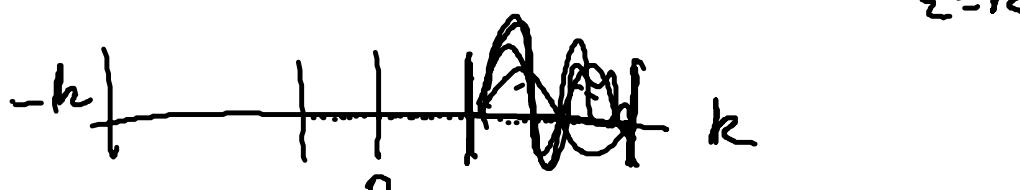
intervals in the energy band:

$$\text{e.g. } \Lambda = 2$$

$$[-\Lambda^{-n}, -\Lambda^{-(n+1)}] ; [\Lambda^{-(n+1)}, \Lambda^{-n}]$$

$$n = 0, 1, 2, \dots$$

Transformation from  $a_{\varepsilon\sigma}^+$   $\rightarrow$  discrete index

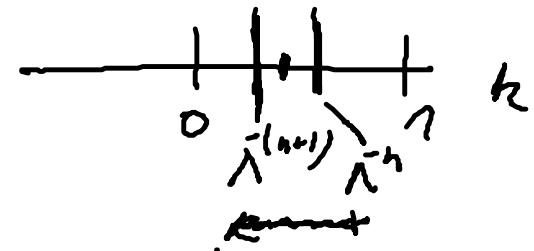


For each interval, introduce a basis  
for a Fourier series, introduce  
functions

$$N_{np}^{\pm}(\varepsilon) = \begin{cases} \frac{i}{\sqrt{d_n}} e^{\pm i \omega_n p \varepsilon} & \lambda^{(n+1)} < \pm \varepsilon < \lambda^n \\ 0 & \text{else} \end{cases}$$

$$p = 0, \pm 1, \pm 2 \quad \omega_n = 2\pi/d_n$$

$$d_n = \underbrace{\lambda^{-n} (1 - \lambda^{-1})}_{\text{sum}}$$



Expand the electron operator

$$a_{\varepsilon\sigma} = \sum_{np} [a_{np\sigma} N_{np}^+(\varepsilon) + b_{np\sigma} N_{np}^-(\varepsilon)]$$