

3 Electron-Electron Interaction

3.1 Hartree Approximation

$$\phi^e = \phi^{\text{Hartree}}(\{\vec{r}_i\}) = \varphi_{o_1}(\vec{r}_1) \varphi_{o_2}(\vec{r}_2) \dots \varphi_{o_N}(\vec{r}_N)$$

What are the best function $\varphi(\vec{r})$

"best": $\langle \phi^{\text{Hartree}} | H^e | \phi^{\text{Hartree}} \rangle \equiv \text{minimum}$

$$\langle \phi^{\text{Hartree}} | H^e | \phi^{\text{Hartree}} \rangle = \int \varphi_{o_1}^*(\vec{r}_1) \dots \varphi_{o_N}^*(\vec{r}_N) \left[\sum_{k=1}^N \frac{-\hbar^2}{2m} \nabla_{\vec{r}_k}^2 + v(\vec{r}_k) \right] \varphi_{o_1}(\vec{r}_1) \dots \varphi_{o_N}(\vec{r}_N) d^3\vec{r}_1 \dots d^3\vec{r}_N$$
$$+ \frac{1}{2} \frac{e^2}{4\pi\epsilon_0} \int \varphi_{o_1}^*(\vec{r}_1) \dots \varphi_{o_N}^*(\vec{r}_N) \sum_{\substack{\mu, \mu' \\ \mu \neq \mu'}} \frac{1}{|\vec{r}_\mu - \vec{r}_{\mu'}|} \varphi_{o_\mu}(\vec{r}_\mu) \dots \varphi_{o_{\mu'}}(\vec{r}_{\mu'}) d^3\vec{r}_1 \dots d^3\vec{r}_N$$

$$\dots \varphi_{o_N}(\vec{r}_N) d^3\vec{r}_1 \dots d^3\vec{r}_N$$
$$= \sum_{k=1}^N \int \varphi_{o_k}^*(\vec{r}_k) \left[\frac{-\hbar^2}{2m} \nabla_{\vec{r}_k}^2 + v(\vec{r}_k) \right] \varphi_{o_k}(\vec{r}_k) d^3\vec{r}_k$$
$$+ \frac{1}{2} \frac{e^2}{4\pi\epsilon_0} \sum_{\substack{\mu, \mu' \\ \mu \neq \mu'}} \left(\int \varphi_{o_\mu}^*(\vec{r}_\mu) \varphi_{o_{\mu'}}^*(\vec{r}_{\mu'}) \right)$$

$$\times \left[\frac{1}{|\vec{r}_k - \vec{r}_{k'}|} \right] \varphi_{0k}(\vec{r}_k) \varphi_{0k'}(\vec{r}_{k'}) d^3\vec{r}_k d^3\vec{r}_{k'}$$

\equiv energy functional

$$\equiv E^{\text{Hartree}} [\varphi_{01}, \varphi_{02}, \dots, \varphi_{0N}; \varphi_{01}^*, \varphi_{02}^*, \dots, \varphi_{0N}^*]$$

side remark

function: number(s) $\xrightarrow{\text{|||}}$ number
 $f(\vec{r})$
 e.g. $\sin(x)$

functional: function(s) $\xrightarrow{\text{|||}}$ number
 E^{Hartree}

find the function $\varphi_{0i}(\vec{r})$ that minimize
 E^{Hartree}

$$\langle \varphi_{0i} | \varphi_{0i} \rangle = 1$$

\Rightarrow new functional

$$Q[\varphi_{0,1} \dots \varphi_{0,N} \dots \varphi_{0,N}^*] = \tilde{E}^{\text{Hartree}}$$

$$- \sum_{k=1}^N E_{0,k} \left(1 - \langle \varphi_{0,k} | \varphi_{0,k} \rangle \right)$$

\equiv minimum \uparrow should zero
 Lagrangian parameter

Min. \equiv change any $\varphi_{0,k}$ by $\delta \varphi_{0,k} \equiv$ arbitrary function

$$\rightarrow \delta Q = \text{zero}$$

$$\delta Q = Q[\varphi_{0,1}^* \dots \varphi_{0,k}^* + \delta \varphi_{0,k} - \varphi_{0,k}^*, \varphi_{0,1}, \dots, \varphi_{0,N}]$$

$$- Q[\varphi_{0,1}^* \dots \varphi_{0,k}^* \dots \varphi_{0,N}^*, \varphi_{0,1}, \dots, \varphi_{0,N}]$$

$$\langle \delta \varphi_{0,i} | \frac{-\hbar^2}{2m} \nabla^2 + v(r) | \varphi_{0,i} \rangle$$

$$- \sum_{\substack{k=1 \\ k \neq i}}^N \frac{e^2}{4\pi\epsilon_0} \langle \delta \varphi_{0,i} | \varphi_{0,k} | \frac{1}{|\vec{r}_k - \vec{r}_i|} | \varphi_{0,i} \rangle$$

$$\times \varphi_{0,i} \varphi_{0,k} \rangle = \epsilon_{0,i} \langle \delta \varphi_{0,i} | \varphi_{0,k} \rangle$$

This must hold for any $\delta \varphi_{0,i}$ & $\delta \varphi_{0,i}^*$
 for all $i = 1 \dots N$.

$$\Rightarrow \left[\frac{-\hbar^2}{2m} \nabla^2 + v(r) + \sum_{\substack{k=1 \\ k \neq i}}^N \frac{e^2}{4\pi\epsilon_0} \langle \varphi_{0,k} | \frac{1}{|\vec{r}_k - \vec{r}_i|} | \varphi_{0,i} \rangle \right]$$

$$\times \varphi_{0i}(\vec{r}) = \epsilon_{0i} \varphi_{0i}(\vec{r}) \quad \text{Hartree eqn.}$$

$$= \left[\frac{-\hbar^2}{2m} \nabla^2 + v^{\text{eff}}(\vec{r}) \right] \varphi_{0i}(\vec{r}) = \epsilon_{0i}(\vec{r}) \varphi_{0i}(\vec{r})$$

$$v^{\text{eff}}(\vec{r}) = v(\vec{r}) + v^{\text{Hartree}}(\vec{r}) + v_{0i}^{\text{SIC}}(\vec{r})$$

$$v^{\text{Hartree}}(\vec{r}) = \frac{e^2}{4\pi\epsilon_0} \int \frac{n(\vec{r}')}{|\vec{r} - \vec{r}'|} d^3\vec{r}'$$

$$v_{0i}^{\text{SIC}} = -\frac{e^2}{4\pi\epsilon} \int \frac{|\varphi_{0i}(\vec{r}')|^2}{|\vec{r} - \vec{r}'|} d^3\vec{r}'$$

SIC self interaction correction

$$n(\vec{r}) = \langle \phi | \sum_{i=1}^N \delta(\vec{r} - \vec{r}_i) | \phi \rangle$$

$$\overline{\uparrow} \varphi_{\text{Hartree}} = \sum_{k=1}^N |\varphi_{0k}(\vec{r})|^2$$

looks like single particle eqn.

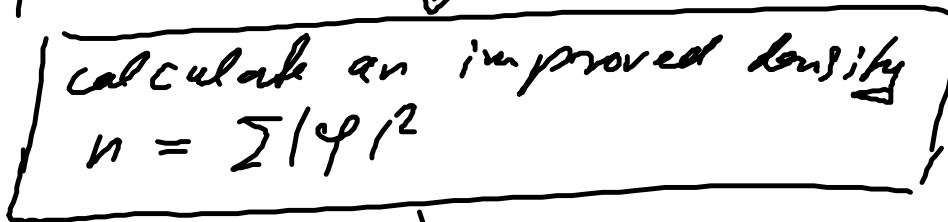
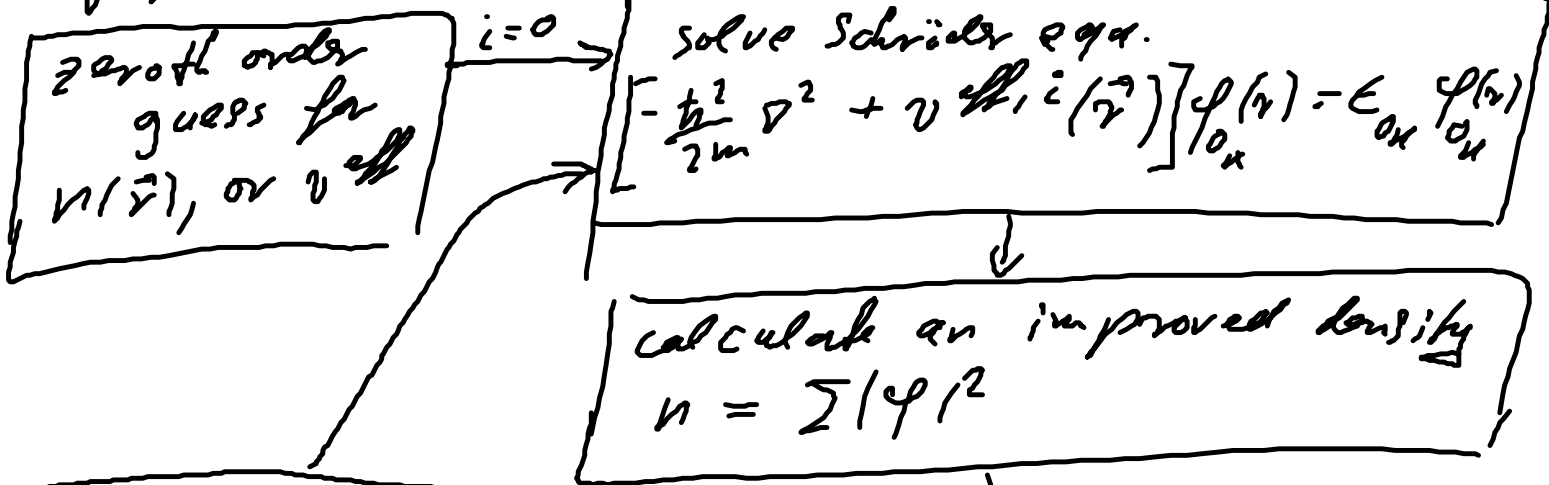
v^{eff} needs the final solutions
total energy is not $\sum_{k=1}^N \epsilon_{0k} \equiv \langle \phi_{\text{Hartree}} | H | \phi_{\text{Hartree}} \rangle$

How to find ρ_i , how to find v_{eff} \Rightarrow self consistent field approach

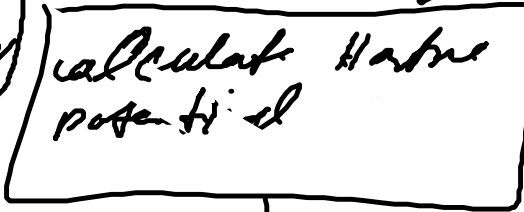
Start with a guess of v_{eff}

calculate the solutions, then build a new v_{eff} etc.

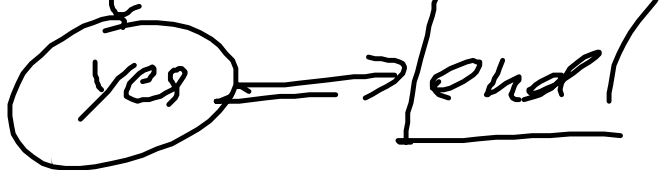
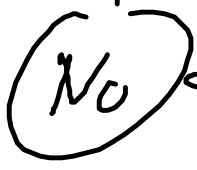
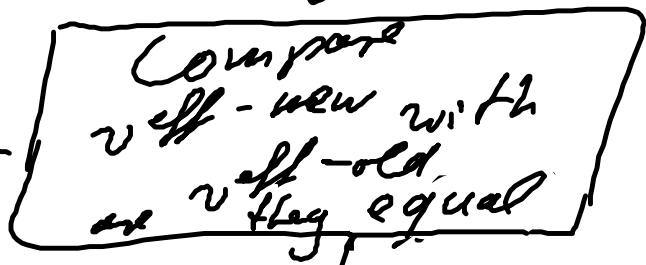
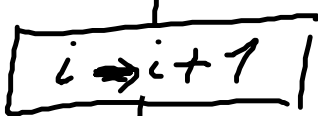
guess: $n(\vec{r}) = \sum_{I=1}^M n_I^{atom}(\vec{r})$



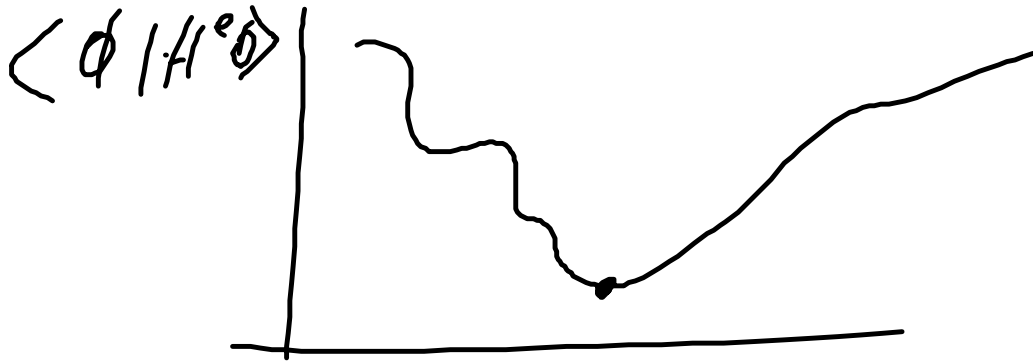
Mixing, e.g.
 $v_{eff}^i = \alpha v_{eff}^{old} + (1-\alpha) v_{eff}^{new}$
 $\alpha = 0.9$



typically we need ≈ 20 rounds



SCF scheme neglecting SIC.



Hartree did this for many atoms
 ≈ 1930

D. R. Hartree \rightarrow asked his father.

\Uparrow

W. R. Hartree

3.2. Hartree - Fock approximation

many-electron wavefunction must be antisym.
 upon interchanging the coordinates of 2
 electrons. = Pauli principle.

$\Phi_{Hartree}$ = is symmetric.

Fock $\Phi^{HF} = \frac{1}{\sqrt{N!}}$

$$\varphi_{0, s_1}(\vec{r}_1, z_1) \dots$$

$$\varphi_{0, s_N}(\vec{r}_N, z_N) \dots$$

$$\varphi_{0, s_N}(\vec{r}_1, z_1)$$

$$\varphi_{0, s_N}(\vec{r}_N, z_N)$$

example: 2 electron system, H^+ , He

$$\Phi^{HF} = \frac{1}{\sqrt{1 \cdot 2}} \left[\varphi_1(\vec{r}_1, \sigma_1) \varphi_2(\vec{r}_2, \sigma_2) - \varphi_2(\vec{r}_1, \sigma_1) \varphi_1(\vec{r}_2, \sigma_2) \right]$$

Now analogous to Hartree approach

$$\begin{aligned} \langle \Phi^{HF} | H^e | \Phi^{HF} \rangle &= E^{HF} \left[\left\{ \varphi_{o_i s_i}(\vec{r}) \right\}, \left\{ \varphi_{o_i s_i}^*(\vec{r}) \right\} \right] \\ &= T_S [\dots] + E^{e-ion} [\dots] \\ &\quad + E^{\text{Hartree}} [\dots] \\ &\quad + E^X [\dots] \end{aligned}$$

X = exchange

$$E^{\text{Hartree}} = \frac{1}{2} \frac{e^2}{4\pi\epsilon_0} \iint \frac{n(\vec{r}) n(\vec{r}')}{|\vec{r} - \vec{r}'|} d^3r d^3r'$$

$$E^X = -\frac{1}{2} \frac{e^2}{4\pi\epsilon_0} \sum_{i,j} \delta_{s_i s_j}$$

$$\iint \frac{\varphi_{o_i s_i}^*(\vec{r}) \varphi_{o_j s_j}^*(\vec{r}') \varphi_{o_i s_i}(\vec{r}') \varphi_{o_j s_j}(\vec{r})}{|\vec{r} - \vec{r}'|} d^3r d^3r'$$

$$i=j \equiv \text{SIC}$$

$E^x = \text{negative} \equiv \text{better total energy.}$

... see exercise \implies

$$\left(-\frac{\hbar^2}{2m} \nabla^2 + V(\vec{r}) + V^{\text{Hartree}}(\vec{r}) + V_K^x(\vec{r}) \right) \varphi_{\text{OKS}}(\vec{r}) = E_{\text{OKS}} \varphi_{\text{OKS}}(\vec{r})$$

\uparrow
exchange potential

$$V_K^x(\vec{r}) \varphi_{\text{OKS}}(\vec{r}) = \frac{-e^2}{4\pi\epsilon_0} \sum_{i,j} \delta_{s_i s_j}$$

$$\int \frac{\varphi_{\text{OKS}}(\vec{r}') \varphi_{\text{OKS}}(\vec{r})}{|\vec{r} - \vec{r}'|} d^3r'$$

integral operator

Slater (1951) multiply $V_K^x(\vec{r}) \times \frac{\varphi_{\text{OKS}}(\vec{r})}{\varphi_{\text{OKS}}(\vec{r})} = 1$

$$V_K^x(\vec{r}) = \frac{-e^2}{4\pi\epsilon_0} \int \frac{n^{\text{HF}}(\vec{r}, \vec{r}')}{|\vec{r} - \vec{r}'|} d^3r'$$

$$n^{\text{HF}}(\vec{r}, \vec{r}') = \sum_{i=1}^N \delta_{s_i s_i} \frac{\varphi_{\text{OKS}}^*(\vec{r}') \varphi_{\text{OKS}}(\vec{r}) \varphi_{\text{OKS}}(\vec{r})}{\varphi_{\text{OKS}}(\vec{r})}$$

Interpretation of

V^x, n^{HF} next week